



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section 2  
#3

In re the application of **Robert L. Schmitter**  
Application No. 09/559,224  
Attorney Docket No. 2544-PO1898US1  
Filed: April 26, 2000  
For: OBJECT-ORIENTED SOFTWARE SYSTEM ALLOWING LIVE  
MODIFICATION OF AN APPLICATION  
Examiner: Not Yet Assigned  
Group Art Unit: Not Yet Assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts, dated June 26, 2000, enclosed are the following:

1. Declaration and power of attorney.
2. Verified Statement Claiming Small Entity Status for an Independent Inventor.
3. Copy of Form PTOL-1533.
4. Filing Fee as set forth in the accompanying Fee Calculation Sheet.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

By

  
John B. Berryhill, Ph.D., J.D.  
PTO Registration No. 36,452

Telephone: (215) 563-4100  
Facsimile: (215) 563-4044

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this Response and accompanying papers are being deposited on **August 10, 2000** with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, DC 20231

August 10, 2000  
Date of Certificate

  
John B. Berryhill, Ph.D., J.D.  
PTO Registration No. 36,452



**STATEMENT CLAIMING  
SMALL ENTITY STATUS  
(37 C.F.R. 1.9(f) and 1.27(a))  
Independent Inventor**

Attorney Docket Number: 2544-PO1898US1

First Named Inventor: Schmitter

Application No: 09/559,224

Filing Date: April 26, 2000

Title: OBJECT-ORIENTED SOFTWARE SYSTEM  
ALLOWING LIVE MODIFICATION OF AN  
APPLICATION

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office with regard to the invention described in:

**the application identified above**

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who would not qualify as an independent inventor under 37 C.F.R. 1.9(c) if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, convey, or license any rights in the invention is listed below:

Robert L. Schmitter  
3000 Valley Forge Circle, Apt. 547  
King of Prussia, PA 19406 Montgomery County

Separate statements are required for each named person, concern or organization having rights to the invention, stating the status of the person, concern or organization as a small entity. (37 C.F.R. 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28 (b)).

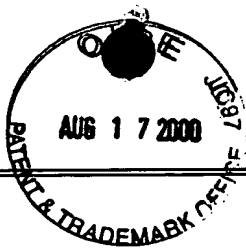
SOLE  
INVENTOR

Robert L Schmitter

Signature:

DATE

8/1/00



## FEE TRANSMITTAL

### Complete if known

Application Number: 09/559,224  
Filing Date: April 26, 2000  
First Named Inventor: Robert L. Schmitter  
Group Art Unit: Not Yet Assigned  
Examiner Name: Not Yet Assigned

Total Amt. of Payment: (1)+(2)+(3)= \$410

Attorney Docket Number: 2544-PO1898US1

### METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:
- ☐ Charge indicated fees  
☒ Charge additional fees  
☒ Credit overpayments
- to the account of DANN, DORFMAN, HERRELL & SKILLMAN  
Deposit Account Number 04-1406

2. Payment enclosed:
- Check in the amount of \$410

### FEE CALCULATION

1. FILING FEE	Fee
<b>Fee Description</b>	
Utility filing fee	<u>\$345</u>
Design filing fee	<u>          </u>
Plant filing fee	<u>          </u>
Reissue filing fee	<u>          </u>
<b>SUBTOTAL (1)</b>	<u>\$345</u>

### FEE CALCULATION (continued)

#### ADDITIONAL FEES

Fee Description	Fee Paid
Surcharge-late filing fee or oath	<u>65</u>
Surcharge - late provisional filing fee or cover sheet	<u>          </u>
Extension for response within first month	<u>          </u>
Extension for response within second month	<u>          </u>
Extension for response within third month	<u>          </u>
Extension for response within fourth month	<u>          </u>
Notice of Appeal	<u>          </u>
Filing a brief in support of an appeal	<u>          </u>
Request for oral hearing	<u>          </u>
Petition to revive unavoidably abandoned application	<u>          </u>
Petition to revive unintentionally abandoned application	<u>          </u>
Issue fee	<u>          </u>
Petitions to the Commissioner	<u>          </u>
Petitions related to provisional applications	<u>          </u>
Submission of Information Disclosure Stmt.	<u>          </u>
Recording each patent assignment per property	<u>          </u>
Other fee (specify) <u>Advance Order (10 copies)</u>	<u>          </u>

**SUBTOTAL (3)** \$65

2.						
		<b>Paid</b>	<b>Extr</b>		<b>Fee</b>	
Total Claims	3	-20	=	x 9	=	\$0
Independent Claims	1	-3	=	x 39	=	\$0

Multiple Dependent  
(First presentation)

**SUBTOTAL (2)** \$0

Submitted By:

Typed or

Printed Name John B. Berryhill, Ph.D., J.D.

Reg. Number 36,452

Signature John B. Berryhill

Date August 10, 2000

Deposit Account User ID

04-1406

## FORMALITIES LETTER



\*OC00000005203151\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/559,224	04/26/2000	Robert L. Schmitter	2544-P018

000110

DANN DORFMAN HERRELL & SKILLMAN  
SUITE 720  
1601 MARKET STREET  
PHILADELPHIA, PA 19103-2307

Date Mailed: 06/26/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/21/2000 GTEFFERA 00000077 09559224

01 FC:201	345.00 OP
02 FC:205	65.00 OP